

REQUEST FORM AND FORMALITIES PRELIMINARY AMENDMENT FOR  
FILING A PATENT APPLICATION UNDER 37 C.F.R. 1.53(b)  
Submit an original and a duplicate for fee processing

THIS APPLICATION:		PRIOR APPLICATION:	
DOCKET NUMBER	FILING DATE	EXAMINER	ART UNIT
P60188US1	05/13/98 06793 U.S. HEREWITH PTO	L. Crane	1623

Address to:

Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

This is a request for filing a ☐ continuation or ☒ divisional application under 37 C.F.R. 1.53(b) of prior application Serial No. **08/591,466** filed on **January 11, 1996**, entitled **PROCESS FOR THE SYNTHESIS OF NUCLEIC ACIDS ON A SOLID SUPPORT AND COMPOUNDS WHICH ARE USEFUL IN PARTICULAR AS SOLID SUPPORTS IN THE SAID PROCESS** by the following inventor(s).

Family Name	First Given Name	Second Given Name
Ludmilla	BARANOVA	
Francois	CHATELAIN	
Viktor	KUMAREV	

Enclosed is a copy of the prior application including the Declaration as originally filed.

1 ☒ A Second Preliminary Amendment is enclosed.

2 ☒ Cancel claim(s) 7-11 for purposes of lessening filing fees.

The filing fee is calculated on the basis of the claims existing in the prior application as amended by 1 and/or 2 above.

Basic Fee		\$395	\$790
Total Claims	- 20 =	x 11 = \$	x 22 = \$
Ind. Claims	- 3 =	x 41 = \$	x 82 = \$
<input type="checkbox"/> Multiple Dependent Claims		+135 = \$	+ 270 = \$
		Total \$	Total \$ 790

3. ☒ The Commissioner is hereby authorized to charge fees under 37 C.F.R. 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.
4. ☐ This application is filed under Rule 53(f) and therefore the ☐ Filing Fee ☐ Declaration is not enclosed.
5. ☒ A check in the amount of \$790 is enclosed.
6. ☒ Amend the specification by inserting before the first line the sentence:  
This is a divisional of application Serial No. 08/591,466 filed January 11, 1996.  
☒ which in turn is a national stage of PCT/FR94/00842 filed July 7, 1994.
7. ☐ A verified statement claiming small entity status under 37 CFR 1.9 and 1.27:  
a) ☐ was claimed by way of a declaration filed in prior application Serial No. \*\*\*.  
b) ☐ is claimed by way of the attached declaration.
8. ☒ Priority of application Serial No. **9308498**, filed on July 9, 1993 in France is claimed under 35 U.S.C. 119.  
a) ☒ Certified copy is on file in prior international application PCT/FR94/00842.  
b) ☐ Certified copy filed herewith.
9. ☒ The prior application is assigned of record to GENSET.
10. ☒ The Power of Attorney in the prior application is to at least one of the following:  
William E. Player, 31,409; John Clarke Holman, 22,769; Harvey B. Jacobson, Jr., 20,851; D. Douglas Price, 24,514; Marvin R. Stern, 20,640; Michael R. Slobasky, 26,421; Jonathan L. Scherer, 29,851; Irwin M. Aisenberg, 19,007.  
a) ☒ The power appears in the original papers of the prior application.  
b) ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

11. ☒ Petition to extend the life of the above prior application to at least the date hereof  
(One box) ☒ is being concurrently filed in  
NOTE: (must be) that prior application.  
(X'd) ☐ was previously filed in that application.  
☐ is not necessary.

If a Petition for Extension of Time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge any fees necessary under 37 CFR 1.17 (a)(1)-(5) to Deposit Account No. 06-1358.

12. ☐ New formal drawings are enclosed.
13. ☐ Enclosed is a copy of the Information Disclosure Statement (IDS) and PTO 1449 which was filed in Serial No. \*\*\*, filed \*\*\* which is relied on for an earlier filing date under 35 U.S.C. 120.
- a) ☐ More than one IDS was filed in the above Serial No. and copies of each IDS, less the documents, are enclosed.
14. ☐ Enclosed is a copy of the PTO 892 which issued in Serial No. \*\*\*, filed \*\*\*, which is relied on for an earlier filing date under 35 U.S.C. 120.
- a) ☐ More than one PTO 892 issued in the above Serial No. \*\*\* and copies of each PTO 892, less the documents, are enclosed.
15. ☐ Also enclosed is: \*\*\*.

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Respectfully submitted,

By: \_\_\_\_\_

William E. Player  
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PROCESS FOR THE SYNTHESIS OF NUCLEIC ACIDS ON A SOLID SUPPORT AND COMPOUNDS WHICH ARE USEFUL IN PARTICULAR AS SOLID SUPPORTS IN THE SAID PROCESS

5 The present invention relates to a process for the synthesis of nucleic acids on a solid support. The present invention also relates to a solid support which is useful, in particular, in biotechnology and particularly in the process for the synthesis of nucleic acids according to the invention.

10 The present invention lastly relates to a process for the preparation of the said solid support.

The synthesis of nucleic acids on a solid support is used particularly in the automated synthesis of DNA or RNA oligonucleotides.

15 In the present Application, the terms "nucleic acids" is understood to refer to deoxyribonucleic acids or ribonucleic acids or, more generally, polynucleotides or oligonucleotides in which the bases, internucleotide phosphate bonds or the ribose rings of the bases may be chemically modified in a known manner. They may in particular be oligonucleotides of  $\alpha$  or  $\beta$  anomers, oligonucleotides of internucleotidic bonding of the phosphorothioate or methyl phosphonate type, or alternatively oligothionucleotides.

20 The first step of a process for the synthesis of nucleic acids on a solid support consists in attaching the first nucleoside of the desired sequence to a solid support, traditionally consisting of glass beads of controlled porosity (CPG) or, more generally, of a functionalized organic or inorganic polymer.

25 The techniques currently used involve the use of eight different reagents as solid supports, consisting of a functionalized organic or inorganic polymer bound to an A, T, C, G or U nucleoside, depending on whether the sequence to be prepared contains A, T, C, G or U as the first deoxyribo- or ribonucleotide. Moreover, manufacturers supply reactors in which one of these nucleosides has already been attached to the support. The appropriate reactor is thus selected depending on whether

the sequence begins with A, T, C, G or U. Elongation of this first nucleoside then takes place in the 3' → 5' or 5' → 3' direction, by means of coupling reagents. One synthetic cycle, that is to say the coupling between two nucleotides, includes at least three steps: (1) deprotection of the 5' or 3' OH function of a first nucleotide, in particular detritylation, (2) activation of the said 5' or 3' OH function of this first nucleotide and condensation with the 3' or 5' end respectively of a second nucleotide, and, lastly, (3) oxidation of the phosphite group of the internucleotide bond obtained to phosphate.

The oligonucleotide is preferably synthesized in the 3' → 5' direction. In this case, the starting material is a 5' OH-protected nucleoside attached to the support via the 3' end of the deoxyribose or ribose ring. The nucleotides which are subsequently added are in the form of a 5'-protected derivative whose 3' hydroxyl possesses a substituted phosphite or phosphate group.

Different methods are distinguished depending on the type of substitution on the phosphate: the phosphoramidite method, described in particular in EP 61,746 and US 4,458,066, is nowadays one of the methods of choice since it makes it possible to achieve high coupling yields (greater than 98%). The 3' hydroxyl thus possesses a phosphoramidite group (see Figure 1). Besides the importance of these groups for the solubility of the nucleosides in the organic solvent, the phosphoramidite group renders the phosphorus atom more susceptible to attack by a primary hydroxyl function, such as that in the 5' position of the detritylated growing nucleosides or chains. The deprotected 5' hydroxyl function becomes sufficiently nucleophilic to react with the phosphoramidite group of the second nucleotide.

The solid phase syntheses of DNA and RNA have great similarities. The monomers and the supports are different but the instrumentation and the reagents are identical.

5 The oligonucleotides obtained at the end of the  
synthetic cycles must be detached from the support and  
the protective functions must be removed. Cleavage of the  
support, deprotection of the bases and removal of the  
group bonded to the phosphorus are carried out  
simultaneously in aqueous ammonia solution. In the case  
of RNA, ethanol makes it possible to solubilize the 2'-O-  
silyl-oligoribonucleotides and to minimize the  
desilylation, native RNA not being stable under basic  
10 conditions. The aqueous ammonia/ethanol solution  
containing the oligoribonucleotide which has passed into  
the liquid phase is then separated from the glass support  
and evaporated. Removal of the silyl groups takes place  
in the presence of tetrabutylammonium fluoride (TBAF) at  
15 room temperature for sixteen hours. The TBAF is then  
neutralized with TEAA (triethylammonium acetate).

Other methods also exist, in particular the so-  
called phosphotriester method, phosphodiester method,  
H-phosphonate method and, lastly, phosphite method.

20 A solid support which may be used for the  
automated synthesis of oligonucleotides must satisfy the  
following characteristics:

- 1) the solid support must react selectively with the  
functionalized 3' end of the nucleotide in  
25 particular of the phosphoramidite, H-phosphonate,  
phosphotriester, phosphodiester or phosphite type or  
with any other monomer reagent according to the  
synthetic method used;
- 2) the support-oligonucleotide bond must be stable  
30 under the conditions of the synthesis, and
- 3) the support-oligonucleotide bond must be able to be  
hydrolyzed at the end of the synthesis under the  
conditions for the step of deprotection of the  
oligonucleotide, and
- 35 4) the covalent bond between the support and  
oligonucleotide must be such that, during the  
detachment, the released oligonucleotide is of  
native type, that is to say that the 3' terminal  
hydroxyl function is free or does not bear any

residue derived from the synthesis.

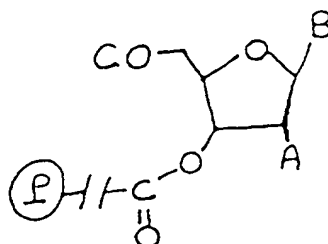
Many supports have already been described in the literature for the solid phase synthesis of oligonucleotides.

5           These supports may consist of organic polymers such as polystyrene (Nucleic A. Res. 1980, volume 8), polyacrylamide acryloylmorpholide, polydimethyl-acrylamide polymerized on kieselguhr (Nucleic Ac. Res. 9(7) 1691 (1980)).

10           Other supports described are of inorganic nature, in particular based on silica functionalized with a hydrocarbon radical bearing an  $\text{NH}_2$  and/or  $\text{COOH}$  group (J.Am. Chem., 105, 661 (1983), or the support based on silica functionalized with a 3-aminopropyltriethoxysilane  
15           group whose use in phosphite and phosphoramidite synthesis for the preparation of oligonucleotides was described for the first time in European patent No. 0,035,719.

          However, these supports have significant defects:  
20           they are not universal and can only be used in oligonucleotide synthesis after prior preparation of the corresponding nucleoside derivatives thereof, for example CPG-A, CPG-G, CPG-T, CPG-C or CPG-Da, CPG-dG, CPG-dU, CPG-Dc; the preparation of these derivatives also  
25           involves a prior preparation of the 3'-p-nitrophenyl-succinate-nucleoside which requires more time and considerable expense of reagent.

          In order to fulfil the four conditions described above, and in particular the last one, the supports  
30           currently used are bound to the first ribonucleoside or deoxyribonucleoside of the sequence to be synthesized, as described above. In particular, there is no phosphate group between the 3' (or 5') end of the first nucleotide or nucleoside and the functionalized polymer. In order to  
35           start the synthesis, the operator must thus select from among supports corresponding in general to a formula as follows:



in which:

- A is a hydrogen atom (deoxyribonucleoside) or an optionally protected hydroxyl group (ribonucleoside),
- 5 - B is a purine or pyrimidine base whose exocyclic amide function is optionally protected. These protective agents, generally benzoyl or isobutyryl, also assist in the solubilization thereof in the organic solvents used in the course of the synthesis,
- 10 - C is the usual temporary protecting group for the 5' terminal function, in general of the trityl type such as dimethoxytrityl,
- P is the solid support consisting of an organic or  
15 inorganic polymer connected directly to the 3' end, optionally substituted with a divalent hydrocarbon radical connected via an ester bond in the 3' position of the nucleoside.

One aim of the present invention is to provide a  
20 process for the solid phase synthesis of oligonucleotides, more particularly a process of automatic synthesis, in which a so-called "universal" support is used. The expression "universal support" refers here to a solid support which may be used  
25 irrespective of the first RNA or DNA nucleotide to be synthesized, and irrespective of the type of monomer reagent used during the synthesis, that is to say irrespective of the type of substitution on the phosphate group in the 3' position or in the 5' position depending  
30 on whether the synthesis is carried out in the 5' → 3' or 3' → 5' direction.



Another aim of the present invention is to be able to use this "universal support" in a process involving the same reaction conditions as in the automated solid phase syntheses.

5           In particular, one aim of the present invention is that the monomer reagent serving to attach the first nucleotide to the solid support should be a monomer reagent identical to the monomer reagent serving to attach the other nucleotides of the sequence during the  
10 synthesis, in particular as regards the 5' protection and the 3' protection.

Another aim is also that the solid support should be in accordance with the four characteristics mentioned above.

15           In particular, one difficulty in the aim that the present invention wishes to address resides in the fact that the first nucleotide which is introduced contains a 3' or 5' phosphate group which must, after cleavage between the support and the oligonucleotide under the  
20 usual conditions of deprotection in basic medium, be capable at the end of the synthesis of liberating an end 3' or 5' OH, depending on the case.

To make such a universal support was hitherto considered as inconceivable on account of the apparent  
25 incompatibility between the need to synthesize a 3' OH oligonucleotide, for example, and the direct use, from the very first base, of a reagent identical to the usual monomer reagents bearing a phosphate group in the terminal 3' position.

30           According to the present invention, we have succeeded in functionalizing the polymer of the solid support with a hydrocarbon radical containing a reactive group such that:

1)   the group can be coupled to a protected 3' or 5' end  
35 of the monomer reagents, under the same conditions as those for which the 3' or 5' end of the terminal nucleotide in the chain already synthesized are coupled with the 5' or 3' end respectively of the next monomer reagent to be attached, and

- 2) the final cleavage of the covalent bond between the solid support and the oligonucleotide, via this group, takes place under the conditions of the final deprotection of the oligonucleotide, and
- 5 3) the hydroxyl function at the terminal 3' or 5' end can be free or, more generally, such that the terminal phosphate group of the first nucleotide remains on the support.

The solid phase "universality" of the supports according to the present invention is obtained by means of a functionalization of the inorganic or organic polymer with a hydrocarbon radical containing groups of the glycol type in which an OH group and a nucleophilic group are vicinally arranged, that is to say located on two adjacent carbons, at the end of the hydrocarbon radical, it being optionally possible for these two carbons to be substituted with inert groups.

The expression "inert group" refers here to a group which does not react under the conditions encountered during the various steps of the synthesis according to the invention of nucleic acids on a solid support.

The subject of the present invention is thus a process for the preparation of nucleic acids by synthesis on a solid support, characterized in that an inorganic or organic polymer is used as solid support, which polymer is connected via a divalent hydrocarbon radical to an epoxide group or a group of the glycol type, the latter group consisting of two adjacent saturated carbons on which an OH group and a nucleophilic group are respectively substituted.

The first nucleotide is advantageously attached to the solid support under the same conditions and with the same monomer reagent as for the condensation of the second nucleotide with the first nucleotide bonded to the support, which may be the conventional conditions and monomer reagents used during the synthesis of nucleic acids on a solid support, the said first nucleotide corresponding to the first nucleotide in the sequence of

the said nucleic acid.

In one particular embodiment, the process of the invention comprises the following steps of:

- 5 1) condensation of the 5' or 3' OH group of the first nucleotide or of an oligonucleotide connected at its other 3' or 5' end to the said solid support, using a coupling agent, with the phosphate group optionally substituted in the 3' or 5' position respectively of a nucleotide monomer reagent protected in the 3' and 5' positions;
- 10 2) oxidation or sulfurization of the internucleotide bond of the phosphite type obtained in step 1) to a phosphate bond respectively.
- 3) deprotection of the 5'-O or 3'-O end of the product obtained in step 2);
- 15 4) repetition of steps 1) to 3) as many times as there are nucleotides to be added in order to synthesize the nucleic acid.

More precisely, the process may comprise the following steps of:

- 20 1) condensation, using a coupling agent, of the said OH group of the said group of glycol type of the solid support with a phosphate or phosphite group optionally substituted in the 3' or 5' position of a nucleotide monomer reagent protected in the 5'-O and 3'-O positions;
- 25 2) oxidation or sulfurization of the covalent bond of the phosphite type between the solid support and the first nucleotide obtained in step 1);
- 30 3) deprotection of the 5'-O or 3'-O end of the product obtained in step 2);
- 4) condensation of the 5'OH or 3'OH group of the product obtained in step 3) with the phosphate, phosphorothioate or phosphite group optionally substituted in the 3' or 5' position of a nucleotide monomer reagent protected in the 5'-O or 3'-O position respectively, using the said coupling agent, under the same conditions as in step 1);
- 35 5) oxidation or sulfurization of the internucleotide

grouping of the phosphite phosphite [sic] type resulting from the above step into a grouping of the phosphate or phosphorothioate type respectively;

- 5 6) deprotection of the 5'-O or 3'-O end of the product obtained in step 5);
- 7) repetition of steps (4), (5) and (6) as many times as there are nucleotides to be added in order to obtain the nucleic acid to be prepared.

The above steps lead to an oligonucleotide connected to the solid support. In an appropriate manner, the process according to the invention includes a final step of detachment of the nucleic acid from the support and removal of the protecting groups from the bases and, where appropriate, from the 2'-O positions of the nucleic acid.

10

15

In the prior techniques in which the solid support is already connected to a first nucleoside corresponding to the first nucleotide of the sequence to be prepared, before starting the synthetic cycles, the said support generally contains a protection of the said nucleoside in the 5' or 3' position. In this case, the synthetic cycle begins with a step of deprotection in acid medium, generally a detritylation with TFA, DCA or TCA in dichloromethane.

20

According to the present invention, the process may also begin with a deprotection step and a support according to the invention containing an epoxide group may then be used as initial solid support.

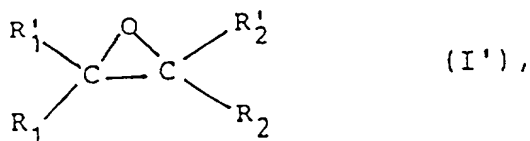
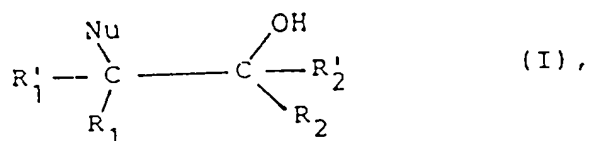
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The process according to the invention comprises in this case a prior step of opening of the said epoxide group of the said solid support, in an anhydrous acidic medium, under the usual conditions for the deprotection of the 5' or 3' OH groups in order to give the said group of the glycol type of the solid support.

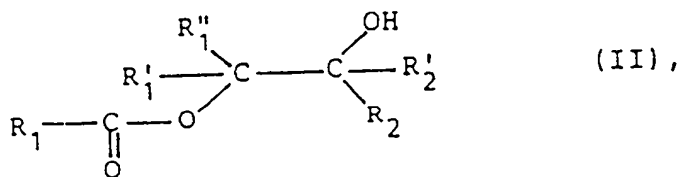
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Another subject of the present invention is compounds of the following formulae and their use as solid supports in a process for the synthesis of nucleic acids according to the invention:

35



or



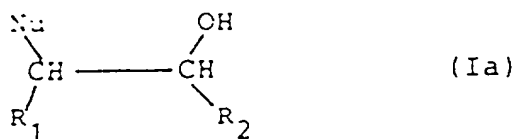
in which:

- one of  $\text{R}_1$ ,  $\text{R}'_1$ ,  $\text{R}''_1$ ,  $\text{R}_2$  and  $\text{R}'_2$  represents an inorganic or organic polymer -  $\oplus$  or a hydrocarbon radical substituted with an inorganic or organic polymer, and

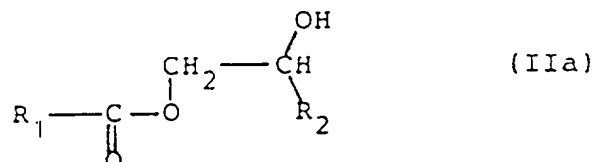
the others represent H or an inert group such as an alkyl group which is optionally substituted, in particular with one or more halogen(s),

- Nu is a nucleophilic group such as  $\text{NH}_2$ , -O-Alk, -NHAlk, -N(Alk)<sub>2</sub>, -NHAc, -OAc, -S-Ac, -S-Alk or Halogen; the groups Alk and Ac being C<sub>1</sub> to C<sub>7</sub>, preferably C<sub>1</sub> to C<sub>4</sub> alkyl and acyl groups respectively, which are optionally substituted, in particular with one or more halogen(s). Mention is made more particularly of the compounds for which Nu is -N(Alk)<sub>2</sub>, -NHAc, -O-Ac, -S-Ac and a halogen.

In an appropriate embodiment, the said solid support takes up [sic] one of the formulae:

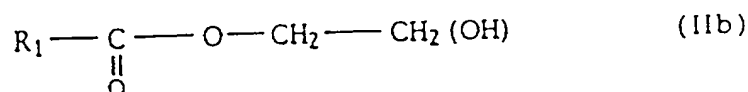
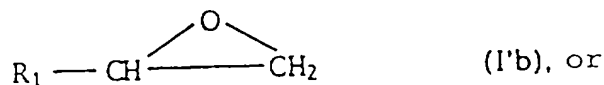
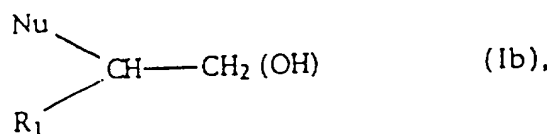


or



in which  $\text{R}_1$ ,  $\text{R}_2$  and  $\text{Nu}$  have the meanings given above.

Even more simply, the said compound corresponds to one of the formulae:



According to one embodiment variant,  $\text{R}_1$  and  $\text{R}_2$  or  $\text{R}'_1$  and  $\text{R}'_2$  together form a ring, in particular a hetero-  
5 cycle, on which the polymer is found substituted.

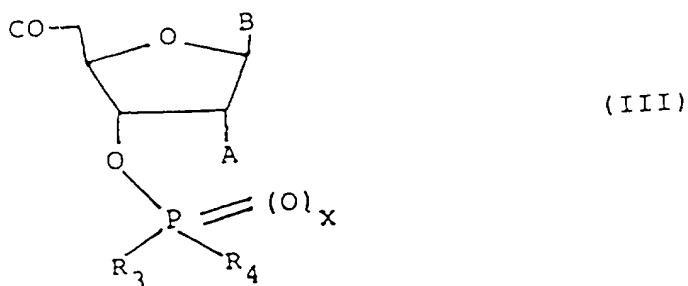
In particular, it is possible for ( $\text{R}_1$  and  $\text{R}_2$ ) or ( $\text{R}'_1$  and  $\text{R}_2$ ) together to form a ribose and for  $\text{Nu}$  to represent the 2'-O function protected with a protecting

10 group such that  $\text{Nu}$  represents  $\text{CH}_3 - \text{C} = \text{O}$ , for example.

In an appropriate manner, in the process for the synthesis of the nucleic acids according to the invention, the said solid support consists of a compound  
15 (I), (Ia), (Ib), (II), (IIa), (IIb) or (I') and (I'b) according to the invention.

According to the variants most commonly used, the

said nucleotide monomer reagent corresponds to the formula:

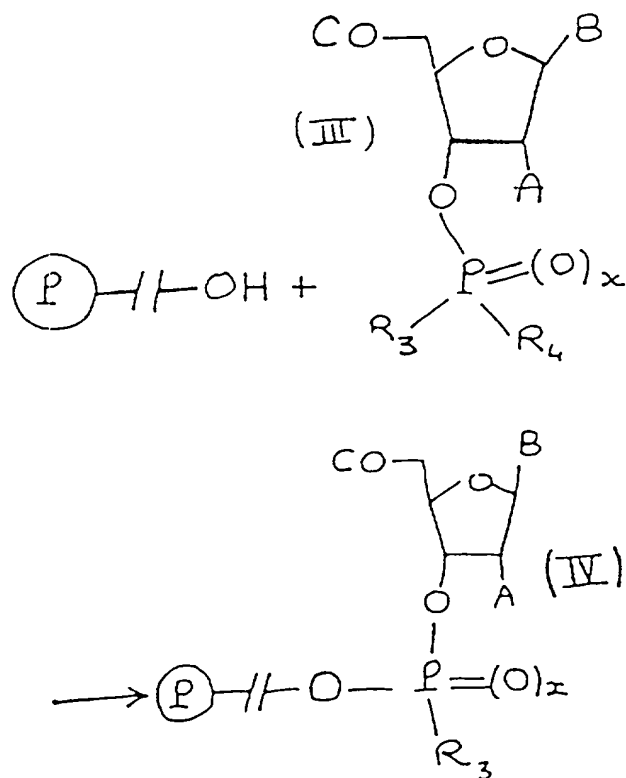


in which:

- A represents H or an optionally protected hydroxyl group,
- B is a purine or pyrimidine base whose exocyclic amine function is optionally protected,
- C is a conventional protecting group for the 5'-OH function,
- x = 0 or 1, with
  - a) when x = 1:
    - R<sub>3</sub> represents H and R<sub>4</sub> represents a negatively charged oxygen atom, or
    - R<sub>3</sub> is an oxygen atom and R<sub>4</sub> represents either an oxygen atom or an oxygen atom bearing a protecting group, and
  - b) when x = 0, R<sub>3</sub> is an oxygen atom bearing a protecting group and R<sub>4</sub> is either a halogen or a disubstituted amine group.
- When x is equal to 1, R<sub>3</sub> is an oxygen atom and R<sub>4</sub> is an oxygen atom, this situation relates to the so-called phosphodiester method, when R<sub>4</sub> is an oxygen atom bearing a protecting group, this situation relates to the so-called phosphorotriester method.
- When x is equal [lacuna] 1, R<sub>3</sub> is a hydrogen atom and R<sub>4</sub> is a hydrogen atom and R<sub>4</sub> is a negatively charged oxygen atom [sic], this situation relates to the so-called H-phosphonate method, and
- when x is equal to 0, R<sub>3</sub> is an oxygen atom bearing a protecting group and R<sub>4</sub> is either [sic]

a halogen, this situation relates to the so-called phosphite method and, when  $R_4$  is a leaving group of the disubstituted amine type, this situation relates to the so-called phosphoramidite method.

5 The support-reagents of formula I, I' and II according to the present invention react with the usual monomer reagents III, under the usual conditions of condensation in acidic medium in the methods for the  
10 synthesis of nucleic acids on a solid support, according to the following scheme:

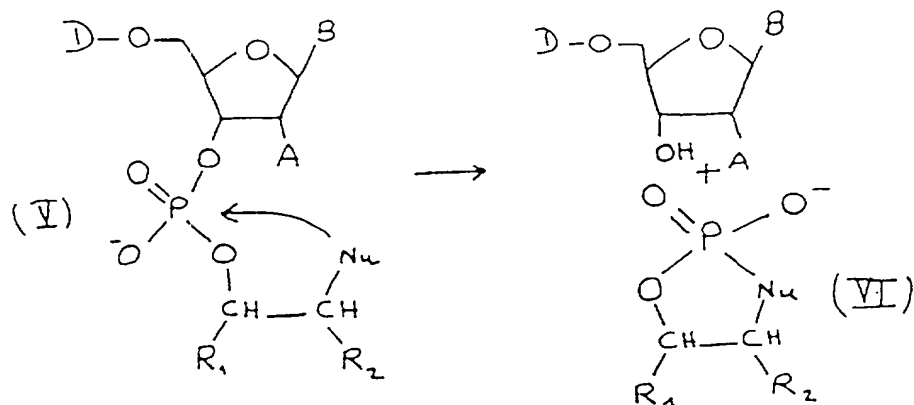


In the formulae III and IV,  $\odot$ , A, B, C, D,  $R_3$ ,  $R_4$  and x have the meanings given above.

In addition, under the conditions of the final  
15 detachment and deprotection step, which takes place after the last oxidation step, the oligonucleotide synthesized is separated from the support such that the (3' or 5') phosphate group remains attached to the support. In the  
20 case of a synthesis in the 3'  $\rightarrow$  5' direction, the reaction scheme below illustrates this last step, when



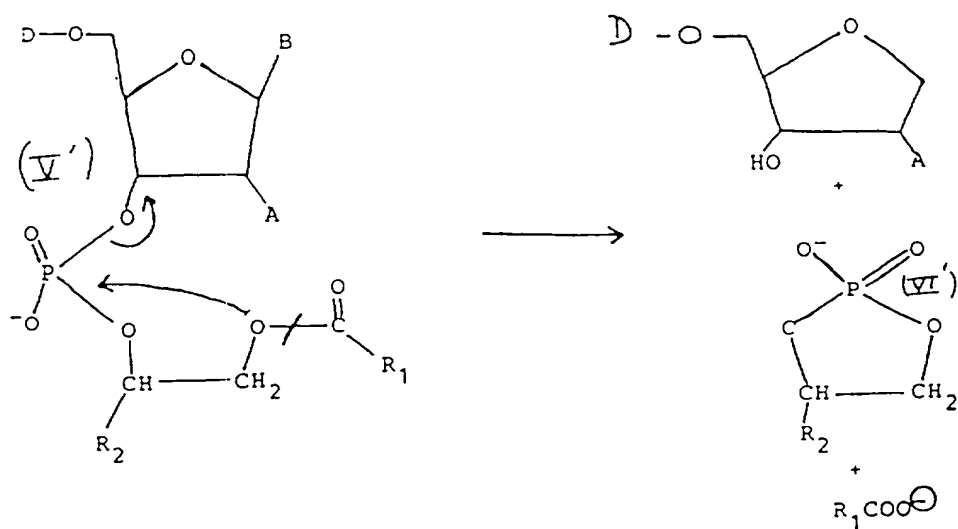
the solid support of the formula I or I' is used:



In the compounds (V) and (VI), D represents an oligonucleotide, the other parameters have the values given above.

5 This reaction takes place in weakly basic medium and leads to a C-5 cyclization by  $\beta$ -elimination.

The compounds of formula (II) in fact correspond to compounds of formula (I) in which the group Nu contains the polymer insofar as the group  $R_1CO-O$  is a nucleophilic group. When the solid support of formula II is used, this then gives the following scheme:



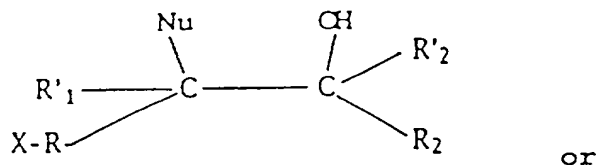
In this scheme, the polymer may be in  $R_2$ , that is to say substituted on the phosphate, ring or in  $R_1$ .

By way of polymer, mention is made of materials consisting of glass microbeads or microfibers, particularly those which are porous, silica, metal oxides or organic polymers, in particular cellulose, or optionally substituted polystyrene.

The polymer is preferably an inorganic polymer made of a glass or silica base, in particular a silica gel base.

The compounds of formulae (I), (I') and (II) may be prepared by processes known to those skilled in the art and using available reagents.

The compounds of formula (I), (I') or (II) may be prepared, for example, from a polymer functionalized with a COOH or  $NH_2$  group which is reacted, in a known manner, with the terminal function  $X = NH_2$  or COOH respectively of a compound

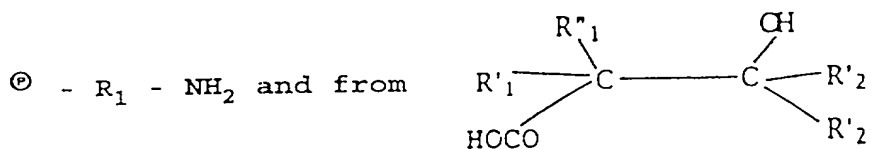


- 20 - Groups Nu and OH are optionally protected with protecting groups;
- R is a divalent residue of a hydrocarbon radical such that  $R_1 = \text{O} - R -$ .

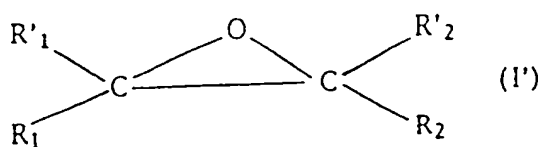
An amide bond is thus established. Obviously, in the above scheme, X - R may just as easily be substituted at  $R'_1$ .

The compounds of formulae (I') and (II) may also be prepared according to this same type of reaction, starting with  $\text{O} - NH_2$  and a compound where X - R is substituted to  $R_1$ ,  $R'_1$  or  $R''_1$  in the said formulae.

The compounds of formulae [sic] (I') may also be prepared from

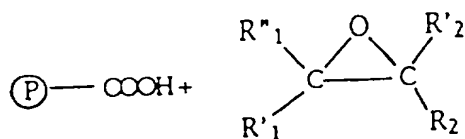


When the solid support is represented by the formula (I), it may also be prepared by a reaction of opening of the epoxide ring of formula



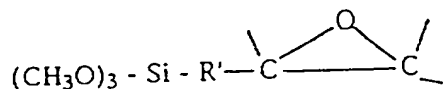
5 in anhydrous, acidic or basic medium, according to an SN<sub>1</sub> or SN<sub>2</sub> substitution mechanism respectively, in the presence of HNu in the medium, where Nu represents the said nucleophilic group.

When the solid support is represented by the  
 10 formula (II) with ⊙ being included in R<sub>1</sub>, it may be prepared starting with a polymer functionalized with a carboxyl function (this type of polymer is commercially available) according to the following scheme:



under the conditions illustrated in Example 6.

15 When the inorganic polymer [lacuna] made of silica, the Si - OH groups thereof may be reacted with compounds



R' is such that ⊙ - Si - R' - represents R<sub>1</sub> under conditions known to those skilled in the art, for example  
 20 at 50°C as illustrated in Example 1, where the compound (I) is obtained by means of the surface treatment of the

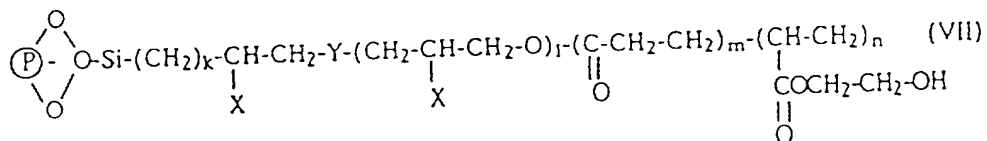
solid phase with 10% glycidyloxypropyltrimethoxysilane in acetonitrile solution or by another reagent containing an epoxide, followed by an opening of the epoxide ring under controlled conditions.

5           The advantages of a solid support according to the invention and the use thereof in the process for the synthesis of nucleic acids, in particular the automatic synthesis, are manifold:

- 10       - it is extremely simple to manufacture when compared with the usual supports;
- its capacity in moles per gram is identical to that of the standard supports;
- the principle thereof may be applied to all types of materials used as solid support (CPG, polymeric
- 15       phases, membranes, etc.);
- the parameters of the synthesis of oligonucleotides are not modified, the support is compatible with all synthesizers;
- in a process for the synthesis of DNA or RNA, the
- 20       deprotection step is carried out under the same conditions as for a standard support;
- in a process for the synthesis of DNA or RNA, there is no additional step [lacuna] the user of the support;
- 25       - the support can especially be exploited for the manufacture of oligonucleotides modified at the terminal 3' end by using directly, in the first cycle, monomers corresponding to the desired nature of the modification;
- 30       - the fact of having only one support to manufacture results in a simplification and a substantial reduction in the cost of the synthesis of the oligonucleotides;
- the universal support considerably simplifies the
- 35       management of the various reactors currently required for the synthesis of oligonucleotides;
- lastly, the universal support makes it possible to design a multireactor synthetic system which is considerably simplified by the independence of each

reactor with respect to the sequence to be synthesized.

The general formula which follows illustrates solid support compounds according to the invention:



5 in which © - is a material consisting of glass microbeads or microfibers, silica, metal oxides, cellulose or organic polymers such as polystyrene, and in which:

k is an integer which may range from 1 to 20

l is an integer which may range from 0 to 1

10 m is an integer which may range from 0 to 1

n is an integer which may range from 0 to 100

X represents -H, -N(Alk)<sub>2</sub>, -NHAcyl, -OAcyl, -SAcyl or Hal,

Y represents -H, or [sic] -O-, -NHAlk, -S- or



Other characteristics and advantages of the present invention will become apparent on reading the examples which follow.

20 In Examples 1 to 6 which follow, an APPLIED BIOSYSTEM 394<sup>®</sup> synthesizer was used. The method used is the phosphoramidite method.

The elongation is carried out in the 3' → 5' direction starting with the first nucleoside attached to the support. One synthetic cycle, corresponding to the addition of a nucleotide, also comprises three steps: 25 unmasking, coupling and oxidation. During the unmasking step (or detritylation), the terminal 5'-hydroxyl of the oligonucleotide undergoing synthesis which is protected by the group Dmtr, is deprotected under the action of 30 trichloroacetic acid (TCA). The trityl cation thus released has, under acidic conditions, an absorption at

498 nm, thereby making it possible to assay it and to estimate the yield for the reaction. During the condensation step, the phosphoramidite group of the monomer reagent, delivered in large excess, is activated  
5 by tetrazole and reacts with the free terminal 5' hydroxyl to form an internucleotide bond of phosphite type.

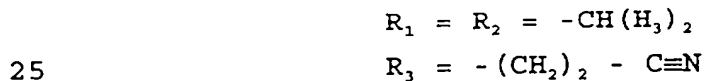
The unstable (trivalent) phosphite is then oxidized to (pentavalent) phosphotriester in the presence  
10 of water and iodine.

The coupling yield is from 97 to 99%; it is necessary to render unreactive the 5' hydroxyls of the unreacted oligonucleotides. This operation makes it possible to avoid extension of these truncated chains  
15 during the following cycles. This fourth step of "capping" consists of an acetylation of the 5' hydroxyls with acetic anhydride and N-methylimidazole.

More precisely, the reagents used in the various steps are as follows:

20 1) Detritylation and coupling:

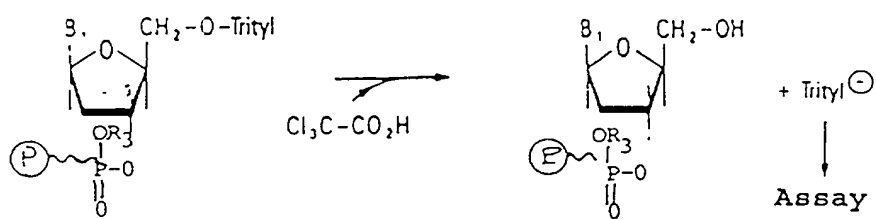
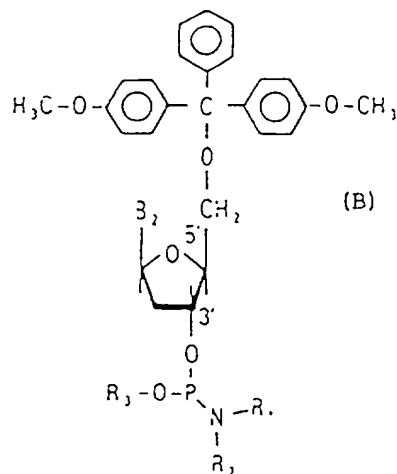
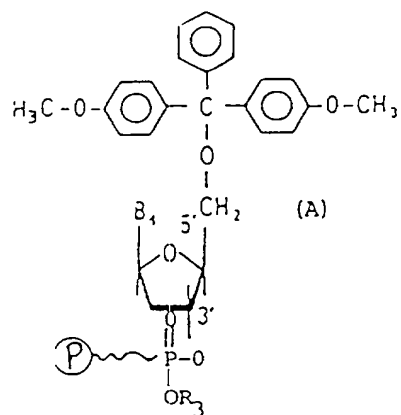
Formulae A and B below schematically represent the nucleoside attached to the support and the phosphoramidite monomer reagent respectively, with



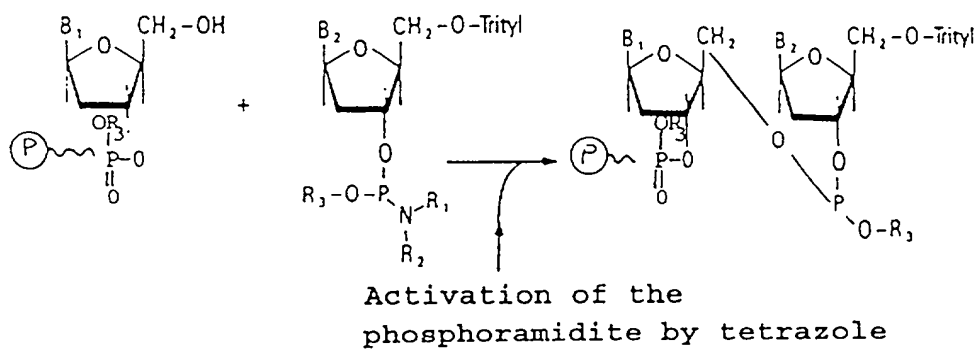
Scheme 1 represents the detritylation.

Scheme 2 represents the condensation.

Nucleoside attached to the support:      Phosphoramidite:

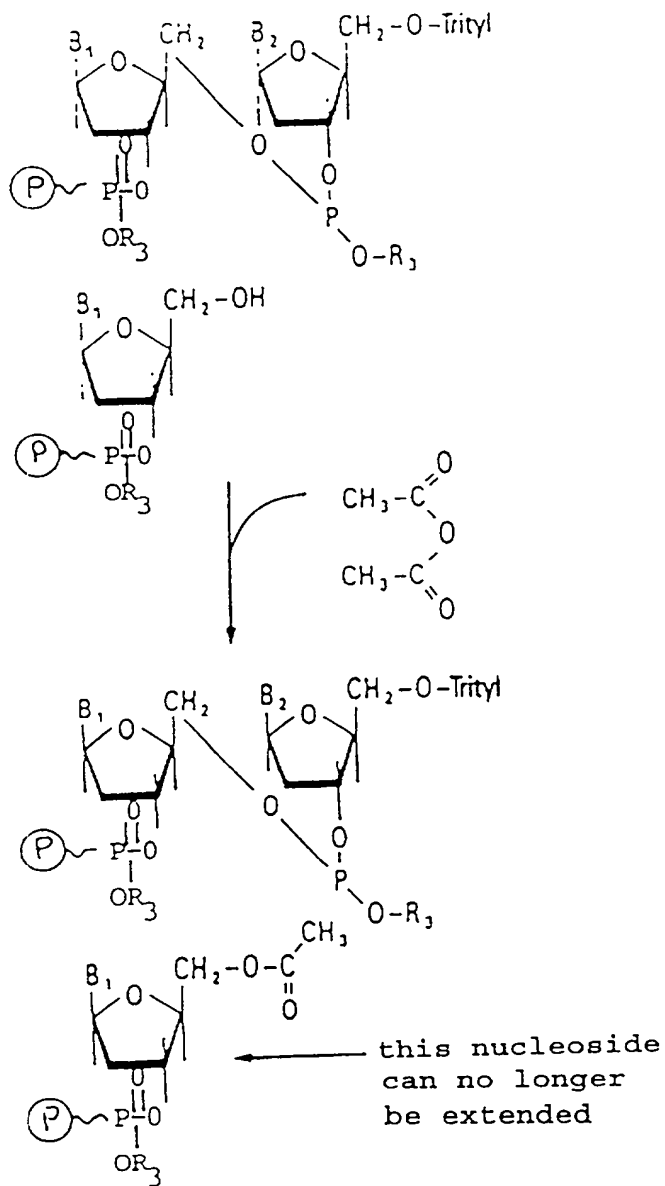


Scheme 1



Scheme 2

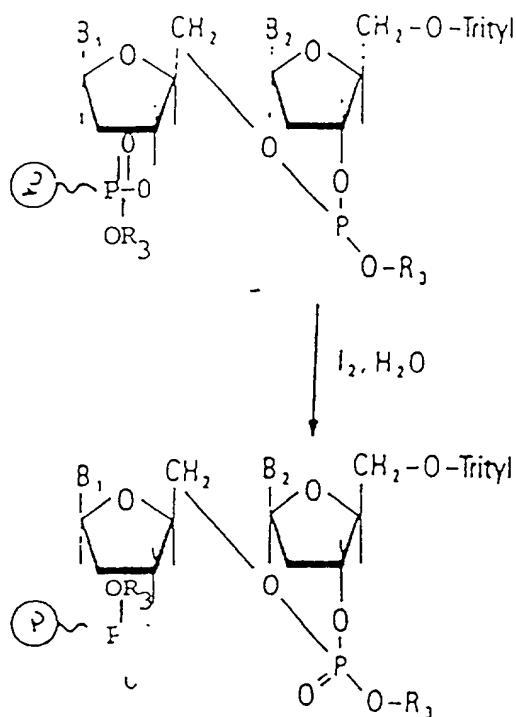
2) Capping:



Scheme 3



3) Oxidation:



Scheme 4

EXAMPLE 1

1 g of porous glass powder (CPG 00350C<sup>®</sup>; f; CPG  
 5 INC. USA) in 5 ml of a 10% solution of  
 3-glycidyloxypropyltrimethoxysilane

$$[(\text{Me-O})_3\text{-Si-(CH}_2)_3\text{-O-CH}_2\text{-CH}_2]$$
 in acetonitrile, the  
 mixture is left stand for 30 minutes at a temperature  
 of 50°C and the support is then separated out by  
 10 filtration, washed with acetonitrile (3 × 5 ml) and dried  
 under vacuum.

The number of oxy groups is determined, after  
 opening of the epoxide ring, by means of the reaction of  
 dimethoxytrityl chloride in pyridine followed by absorp-  
 15 tion spectrophotometric measurement of the trityl cation  
 in a mixture of perchloric acid and ethanol at 495 nm. A  
 capacity of 50-100 micromol per 1 g of support is  
 obtained.

EXAMPLE 2

The reactor is filled with 1 mg of support, obtained in Example 1, and the oligonucleotide d(ATGC) is synthesized by the standard phosphoramidite method described above, with a first step under detritylation conditions which opens the epoxide ring. After the synthesis, the oligo-CPG is heated for one hour at 100°C in 30 microliters of concentrated aqueous ammonia solution. For the purposes of analysis, the oligonucleotide is freed, the last nucleotide of which is protected in the 5' position, referred to hereinafter as ON-trityl for short, using HPLC on a reverse phase column. About 90% of ON-trityl oligonucleotide are obtained.

EXAMPLE 3

The synthesis of Example 2 was performed with a synthesis of d(AGTC) by the H-phosphonate method.

As regards the synthesis of oligodeoxynucleotides by the H-phosphonate method, the following are used:

- the monomers already described (formula III);
- the principle of the synthesis is identical to that of the phosphoramidite method with the following few differences:
  - the activation agent used is either adamantoyl chloride or pivaloyl chloride,
  - only one oxidation step is carried out at the end of the synthesis;
- the deprotection is carried out under the same conditions as for the phosphoramidites.

EXAMPLE 4

The synthesis was performed with the same support as in Example 2, with a synthesis of AGTC in the RNA series.

As regards the synthesis of oligoribonucleotides (RNA), the monomers are of the type 5'-O-dimethoxytrityl-3'-O- $\beta$ -cyanoethoxydiisopropylaminophosphine-2'-O-tert-butyltrimethylsilyl-nucleosides (formula III with A = tert-butyltrimethylsilyl).

The synthetic method is the so-called phosphoramidite method. As described above, the deprotection requires an additional step.

EXAMPLE 5

5           The support obtained in Example 1 is washed in the reactor with an HCl solution at a concentration of 1% of dichloromethane. A support of the glycol type with Nu = Cl is obtained and the synthesis is carried out, again under the standard conditions of the phosphoroamidite  
10   method. The treatment and the detachment of the oligonucleotide is [sic] carried out as in Example 2. About 90% of ON-trityl oligonucleotide are obtained.

EXAMPLE 6

15           A membrane in the form of a glass fiber disc (Ø 4.7 cm, 1 g, f. WATMAN)<sup>®</sup> is treated as in Example 1.

A support with a capacity of 20 µmol of oxy groups per 1 g of support is obtained.

EXAMPLE 7

20           Using the disc obtained in Example 4 [sic], a disc is cut (Ø 4 mm, 1 mg) and the synthesis, the treatment and the detachment of the oligonucleotides d(AGTC) is [sic] performed as in Example 3.

At least 90% of ON-trityl oligonucleotide are obtained.

25   EXAMPLE 8

1 g of the support, containing a carboxymethyl CPG CML<sup>®</sup> 00350C (CPG INC), is treated with 5 ml of ethylene oxide solution at a concentration of 10% of dichloromethane at a temperature of 50°C for one hour.  
30   The support is isolated by filtration, washed with dichloromethane and dried under vacuum.

A support with a capacity of 50-100 µmol of oxy groups per 1 g of support is obtained.

CLAIMS

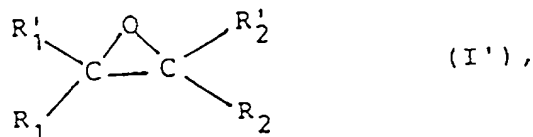
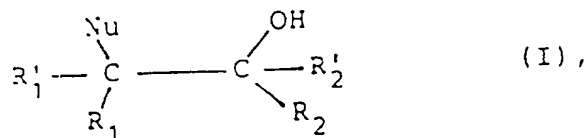
1. Process for the preparation of a nucleic acid by synthesis on a solid support, characterized in that an inorganic or organic polymer is used as solid support, which polymer is connected via a divalent hydrocarbon radical to an epoxide group or a group of the glycol type, the latter group consisting of two adjacent saturated carbons on which an OH group and a nucleophilic group are respectively substituted.
2. Process according to Claim 1, characterized in that the first nucleotide is advantageously attached to the solid support under the same conditions and with the same monomer reagent as for the condensation of the second nucleotide with the first nucleotide bonded to the support, which may be the conventional conditions and monomer reagent used during the synthesis of nucleic acids on a solid support, the said first nucleotide corresponding to the first nucleotide in the sequence of the said nucleic acid.
3. Process according to either of Claims 1 and 2, characterized in that it comprises the following steps of:
- 1) condensation of the 5' or 3' OH group of the first nucleotide or of an oligonucleotide connected at its other 3' or 5' end to the said solid support, using a coupling agent, with the phosphate group optionally substituted in the 3' or 5' position respectively of a monomer nucleotide reagent protected in the 3' and 5' positions;
  - 2) oxidation or sulfurization of the internucleotide bond of the phosphite type obtained in step 1) to a phosphate or phosphorothioate bond respectively.
  - 3) deprotection of the 5'-O or 3'-O end of the product obtained in step 2);
  - 4) repetition of steps 1) to 3) as many times as there are nucleotides to be added in order to synthesize the nucleic acid.
4. Process according to either of Claims 1 and 2, characterized in that it comprises the following steps

of:

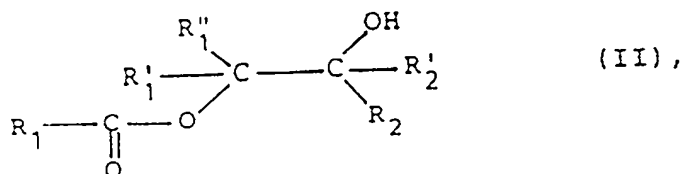
- 1) condensation, using a coupling agent, of the said OH group of the said group of glycol type of the solid support with a phosphate or phosphite group optionally substituted in the 3' or 5' position of a monomer nucleotide reagent protected in the 5'-O and 3-O positions;
  - 2) oxidation or sulfurization of the covalent bond of the phosphite type between the solid support and the first nucleotide obtained in step 1);
  - 3) deprotection of the 5'-O or 3'-O end of the product obtained in step 2);
  - 4) condensation of the 5'OH or 3'OH group of the product obtained in step 3) with the phosphate, phosphorothioate or phosphite group optionally substituted in the 3' or 5' position of a monomer nucleotide reagent protected in the 5'-O or 3'-O position respectively, using the said coupling agent, under the same conditions as the condensation in step 1);
  - 5) oxidation or sulfurization of the internucleotide grouping of the phosphite phosphite [sic] type resulting from the above step into a grouping of the phosphate or phosphorothioate type respectively;
  - 6) deprotection of the 5'-O or 3'-O end of the product obtained in step 5);
  - 7) repetition of steps (4), (5) and (6) as many times as there are nucleotides to be added in order to obtain the nucleic acid to be prepared.
5. Process according to Claim 4, characterized in that it includes a final step of detachment of the nucleic acid from the support and removal of the protecting groups from the bases and, where appropriate, from the 2'-O positions of the nucleic acids.
6. Process according to either of Claims 4 and 5, characterized in that it comprises a prior step of opening of the said epoxide group of the said solid support, in an anhydrous acidic medium, under the usual conditions for the deprotection of the 5' or 3' OH groups

in order to give the said group of the glycol type of the solid support.

7. Compounds represented by the following formulae:



or



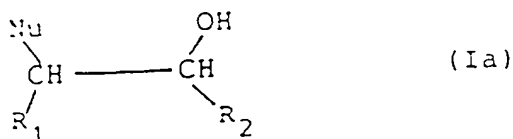
in which:

- 5 - one of  $\text{R}_1$ ,  $\text{R}'_1$ ,  $\text{R}''_1$ ,  $\text{R}_2$  and  $\text{R}'_2$  represents an inorganic or organic polymer or a hydrocarbon radical substituted with an inorganic or organic polymer, and the others are identical or different and represent, independently of each other, H or an inert group such as an alkyl group which is optionally substituted, in particular with one or more halogen(s),
- 10 - Nu represents a nucleophilic group such as  $\text{NH}_2$ , Halogen-Oalk, -Salk, -NHalk, -NHAc, -OAc, -SAc or - $\text{N}(\text{Alk})_2$ , where Alk and Ac respectively represent an alkyl and acyl group, which is optionally substituted, in particular with one or more halogen(s).

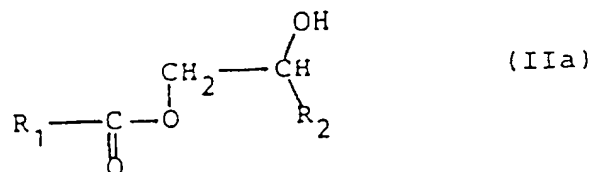
8. Compounds according to Claim 7, characterized in that Nu represents - $\text{N}(\text{Alk})_2$ , -NHAc, -OAc, -SAc or a halogen, where Alk and Ac respectively represent a  $\text{C}_1$  to  $\text{C}_4$  alkyl and acyl group optionally substituted with one or more halogen(s).

9. Compounds according to Claim 7 or 8, characterized in that the said solid support corresponds to one

of the formulae:

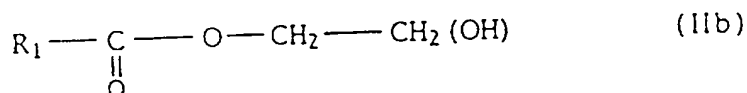
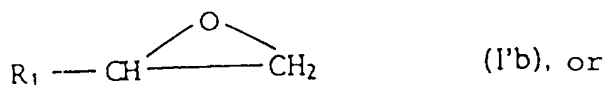
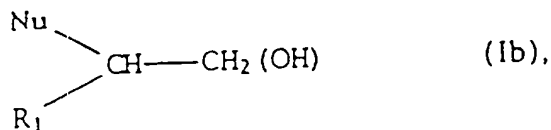


or



in which  $\text{R}_1$ ,  $\text{R}_2$  and Nu have the meanings given in Claim 7.

10. Compound according to Claim 9, characterized in that the said compound corresponds to one of the formulae:



11. Compound according to one of Claims 7 to 9, characterized in that ( $\text{R}_1$  and  $\text{R}_2$ ) or ( $\text{R}'_1$  and  $\text{R}'_2$ ) together form a ring, in particular a heterocycle, on which the polymer is found substituted.

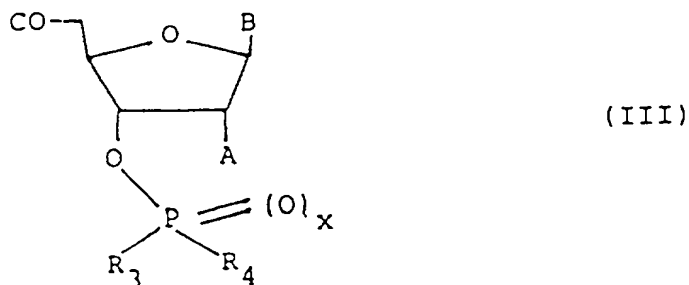
- 10 12. Composition according to Claim 11, characterized in that ( $\text{R}_1$  and  $\text{R}_2$ ) or ( $\text{R}'_1$  and  $\text{R}_2$ ) together form a ribose ring and Nu represents the 2'-O function protected with a protecting group such as  $\text{CH}_3 - \text{C} - \text{O}$ .



- 15 13. Process according to one of Claims 1 to 6, characterized in that the said solid support consists of a compound according to one of Claims 7 to 10.

a compound according to one of Claims 7 to 10.

14. Process according to one of Claims 2 to 6 and 13, characterized in that the said nucleotide monomer reagent corresponds to the formula:



5 in which:

- A represents H or an optionally protected hydroxyl group,
- B is a purine or pyrimidine base whose exocyclic amine function is optionally protected,
- 10 - C is a conventional protecting group for the 5'-OH function,
- x = 0 or 1, with
  - a) when x = 1:

15  $R_3$  represents H and  $R_4$  represents a negatively charged oxygen atom, or  
 $R_3$  is an oxygen atom and  $R_4$  represents either an oxygen atom or an oxygen atom bearing a protecting group, and

20 b) when x = 0,  $R_3$  is an oxygen atom bearing a protecting group and  $R_4$  is either a halogen or a disubstituted amine group.

15. Process according to Claim 14, characterized in that it is a phosphoramidite synthesis process in which the monomer reagent corresponds to the formula (III) with  
 25 x = 0,  $R_3$  is an oxygen atom bearing a protecting group and  $R_4$  is a disubstituted amine group.

16. Process according to one of Claims 1 to 6 and 13 to 15, characterized in that the polymer is in the form of glass microbeads or microfibers, in particular porous  
 30 ones, silica, metal oxides, cellulose or organic polymer, in particular cellulose.



17. Process according to one of Claims 1 to 6 and 13 to 16, characterized in that the polymer is an inorganic polymer made, in particular, of a glass or silica base.

ATTY DK No. \_\_\_\_\_

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled, PROCESS FOR THE SYNTHESIS OF NUCLEIC ACIDS ON A SOLID SUPPORT AND COMPOUNDS WHICH ARE USEFUL IN PARTICULAR AS SOLID SUPPORTS IN THE SAID PROCESS  
the specification of which (check one of the following)

☐ is attached hereto; ☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable);  
☒ was filed as PCT International Application No. PCT/FR94/00842  
on JULY 7, 1994 and was amended under PCT Article 19 on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
<u>93 08498</u> (Number)	<u>FRANCE</u> (Country)	<u>9.07.1993</u> (Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

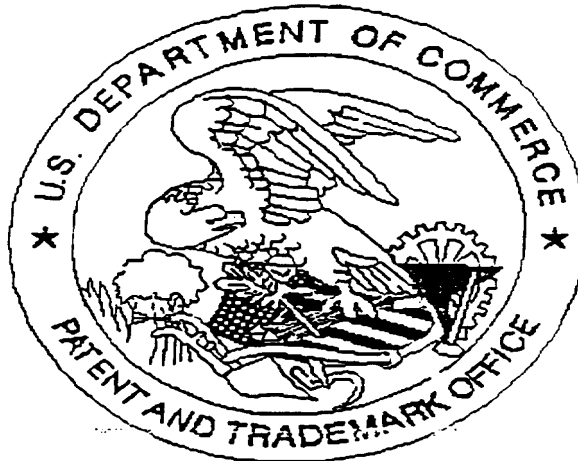
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